

## Empire State Development

July 7, 2014

File ID: 54554

Mr. Carlos Lithgow  
CAL Insurance & Consulting Services, LLC  
213 Country Ridge Drive  
Rye Brook, NY 10573

Dear Mr. Carlos Lithgow:

The New York State Department of Economic Development, Division of Minority and Women's Business Development (DMWBD) has determined that your firm, CAL Insurance & Consulting Services, LLC, continues to meet eligibility requirements for re-certification, pursuant to Executive Law, Article 15-A and 5NYCRR Section 140 through 145 of the Regulations.

Therefore, we are pleased to inform you that your firm, has once again, been granted status as a **Minority Business Enterprise (MBE)**. Your business will continue to be listed in the State's Directory of Certified Businesses with codes listed on the following page.

This Certification remains in effect for a period of generally three (3) years from the date of this letter or until such time as you are selected again, by this office for re-certification. Any changes in your company that affect ownership, managerial and/or operational control, must be reported to this Office within thirty (30) days of such changes; including changes to company name, business address, telephone numbers, principal products/services and bonding capacity.

The Certification status is not intended to imply that New York State guarantees your company's capability to perform on contracts, nor does it imply that your company is guaranteed any State business.

Thank you for your cooperation. On behalf of the State of New York, I wish you luck in your business endeavors, particularly those involving State agencies.

Sincerely,



Bette Yee  
Director of Certification Operations

633 Third Avenue New York New York 10017 Tel 212 803 2414  
Web Site: [www.esd.ny.gov/MWBE/html](http://www.esd.ny.gov/MWBE/html)



**New York State Department of Economic Development**  
633 Third Avenue New York New York 10017 Tel 212 803 2414  
Web Site: [www.esd.ny.gov/MWBE/html](http://www.esd.ny.gov/MWBE/html)

July 7, 2014

File ID: 54554

Mr. Carlos Lithgow  
CAL Insurance & Consulting Services, LLC  
213 Country Ridge Drive  
Rye Brook, NY 10573

---

CAL Insurance & Consulting Services, LLC will be listed in the State's Directory of Certified Businesses with the following list of codes for products and services:

ESD-H-0114: INSURANCE AGENTS, BROKERS, AND SERVICE  
ESD-H-0267: FINANCIAL CONSULTANTS  
ESD-H-0359: INSURANCE AGENTS  
ESD-H-0920: RISK MANAGEMENT  
NAICS-524113: INSURANCE CARRIERS, LIFE, DIRECT  
NAICS-524126: DIRECT PROPERTY AND CASUALTY INSURANCE CARRIERS