

**Empire Plan Prescription Drug Program  
Selected Financial Data**

**MONTHLY PREMIUM RATES**

Effective Date of Rate	Empire Plan (1)		Student Employee Health Plan		Excelsior Plan	
	<u>Individual</u>	<u>Family</u>	<u>Individual</u>	<u>Family</u>	<u>Individual</u>	<u>Family</u>
1/1/2013	\$148.88	\$308.91	\$49.30	\$98.43	\$127.43	\$264.40
1/1/2014	\$160.79	\$335.52	\$43.80	\$88.10	\$132.33	\$276.13
1/1/2015	\$162.82	\$337.91	\$42.96	\$75.58	\$138.40	\$287.23
1/1/2016	\$188.68	\$401.47	\$51.10	\$127.16	\$160.38	\$341.25
1/1/2017	\$188.59	\$403.50	\$56.51	\$138.76	\$160.30	\$342.97

**ENROLLMENT Empire Plan (2)**

Year	Active		Retired (3)		COBRA & YAO		Total Contracts
	Individual	Family	Individual	Family	Individual	Family	
2013	97,377	182,683	132,469	103,630	1,552	456	518,167
2014	97,173	180,692	134,710	104,634	1,382	384	518,975
2015	98,695	180,462	137,852	105,946	1,210	308	524,473
2016	102,860	178,356	141,577	107,001	1,228	292	531,314

**ENROLLMENT Excelsior Plan (2)**

Year	Active		Retired (3)		COBRA & YAO		Total Contracts
	Individual	Family	Individual	Family	Individual	Family	
2013	35	51	80	57	1	0	224
2014	34	50	80	53	1	0	218
2015	40	55	83	49	0	0	227
2016	40	51	85	44	0	0	220

**ENROLLMENT Student Employee Health Plan (SEHP) (2)**

Year	Active		COBRA & YAO		Total Contracts
	Individual	Family	Individual	Family	
2013	4,341	727	276	45	5,389
2014	4,400	740	234	41	5,415
2015	4,412	758	195	42	5,407
2016	4,270	712	186	40	5,208

(1) Represents the premium rates for the most common plan design (flexible formulary and speciality drug pharmacy).

(2) Represents the monthly average "with drug coverage" enrollment for the respective periods.

(3) Represents all non-actively employed, non-COBRA enrollees, i.e., retirees, dependent survivors, preferred list enrollees, vestees, etc.

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**EXPERIENCE**

	<u>2013</u>	<u>2014</u>	<u>2015</u>	<u>2016 (1)</u>
Premium	\$1,475,347,189	1,598,839,390	1,625,688,059	\$1,927,604,912
Paid Claims (2)	1,213,280,143	1,676,677,337	1,577,766,258	\$1,706,429,566
Change in Reserves	33,126,212	(2,436,488)	337,585	(918,470)
Incurred Claims	\$ 1,246,406,355	\$ 1,674,240,849	\$ 1,578,103,843	\$ 1,705,511,096
Retention	126,227,570	22,219,166	25,212,132	\$29,297,443
Dividend (Loss)	\$ 102,713,264	\$ (97,620,625)	\$ 22,372,084	\$ 192,796,373

(1) Projected by vendor per 2016 4th Quarter Experience Report

(2) Includes formulary rebates and federal subsidies.