

ATTACHMENT 13



Offeror Attestations Form - RFP entitled: “Healthcare Program Administrative Services Only (ASO)”

A representative of the Offeror who is legally authorized to bind the Offeror must complete and sign the Offeror Attestations Form and provide all requested information. Please note that the narrative in the RFP Requirement column below is provided as a convenience to the Offeror and the requirement in the RFP Referenced section is the controlling language.

Offeror Name:		
Offeror's Legal Form:		<input type="checkbox"/> Corporation <input type="checkbox"/> Partnership <input type="checkbox"/> Sole Proprietorship <input type="checkbox"/> Other _____
No.	RFP Ref.	RFP Requirement:
1.	Section 1.4	At time of Proposal Due Date, Offeror represents and warrants that it: <ul style="list-style-type: none"> <input type="checkbox"/> acknowledges and agrees <input type="checkbox"/> does not acknowledge and agree that the Offeror will comply with all specific duties and responsibilities set forth in Section 1.4. of this RFP, entitled “Offeror Eligibility”.
2.	Section 3.1	At time of Proposal Due Date, Offeror represents and warrants that it: <ul style="list-style-type: none"> <input type="checkbox"/> acknowledges and agrees <input type="checkbox"/> does not acknowledge and agree that the Offeror will comply with all specific duties and responsibilities set forth in Section 3.1 of this RFP, entitled “Account Team”.
3.	Section 3.2	No later than December 1, 2021, and throughout the term of the Agreement, Offeror represents and warrants that it: <ul style="list-style-type: none"> <input type="checkbox"/> acknowledges and agrees <input type="checkbox"/> does not acknowledge and agree that the Offeror will comply with all specific duties and responsibilities set forth in Section 3.2 of this RFP, entitled “Implementation Plan”, including Section 3.2(1)(f) requiring the Offeror to propose a financial guarantee supporting its commitment to satisfy all implementation requirements.
4.	Section 3.3	At time of Proposal Due Date, Offeror represents and warrants that it: <ul style="list-style-type: none"> <input type="checkbox"/> acknowledges and agrees <input type="checkbox"/> does not acknowledge and agree that the Offeror will comply with all specific duties and responsibilities set forth in Section 3.3 of this RFP, entitled “Member Communication Support”.
5.	Section 3.4	At time of Proposal Due Date, Offeror represents and warrants that it: <ul style="list-style-type: none"> <input type="checkbox"/> acknowledges and agrees <input type="checkbox"/> does not acknowledge and agree that the Offeror will comply with all specific duties and responsibilities set forth in Section 3.4 of this RFP, entitled “Reporting Services”.

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6.	Section 3.5	<p>Offeror represents and warrants that it:</p> <ul style="list-style-type: none"> <input type="checkbox"/> acknowledges and agrees <input type="checkbox"/> does not acknowledge and agree that the Offeror will comply with all specific duties and responsibilities set forth in Section 3.5 of this RFP, entitled “Customer Service”.
7.	Section 3.6	<p>At time of Proposal Due Date, Offeror represents and warrants that it:</p> <ul style="list-style-type: none"> <input type="checkbox"/> acknowledges and agrees <input type="checkbox"/> does not acknowledge and agree that the Offeror will comply with all specific duties and responsibilities set forth in Section 3.6 of this RFP, entitled “Enrollment Management”.
8.	Section 3.7	<p>At time of Proposal Due Date, Offeror represents and warrants that it:</p> <ul style="list-style-type: none"> <input type="checkbox"/> acknowledges and agrees <input type="checkbox"/> does not acknowledge and agree that the Offeror will comply with all specific duties and responsibilities set forth in Section 3.7 of this RFP, entitled “Claims Processing”.
9.	Section 3.8	<p>At time of Proposal Due Date, Offeror represents and warrants that it:</p> <ul style="list-style-type: none"> <input type="checkbox"/> acknowledges and agrees <input type="checkbox"/> does not acknowledge and agree that the Offeror will comply with all specific duties and responsibilities set forth in Section 3.8 of this RFP, entitled “Plan Audit and Fraud Protection”.
10.	Section 3.9	<p>At time of Proposal Due Date, Offeror represents and warrants that it:</p> <ul style="list-style-type: none"> <input type="checkbox"/> acknowledges and agrees <input type="checkbox"/> does not acknowledge and agree that the Offeror will comply with all specific duties and responsibilities set forth in Section 3.9 of this RFP, entitled “Appeal Process”.
11.	Section 3.10	<p>At time of Proposal Due Date, Offeror represents and warrants that it:</p> <ul style="list-style-type: none"> <input type="checkbox"/> acknowledges and agrees <input type="checkbox"/> does not acknowledge and agree that the Offeror will comply with all specific duties and responsibilities set forth in Section 3.10 of this RFP, entitled “Medicare Coordination and Secondary Payment”.
12.	Section 3.11	<p>At time of Proposal Due Date, Offeror represents and warrants that it:</p> <ul style="list-style-type: none"> <input type="checkbox"/> acknowledges and agrees <input type="checkbox"/> does not acknowledge and agree that: that the Offeror will comply with all specific duties and responsibilities set forth in Section 3.11 of this RFP, entitled “Provider Network”.
13.	Section 3.12	<p>At time of Proposal Due Date, Offeror represents and warrants that it:</p> <ul style="list-style-type: none"> <input type="checkbox"/> acknowledges and agrees <input type="checkbox"/> does not acknowledge and agree: that the Offeror will comply with all specific duties and responsibilities set forth in Section 3.12 of this RFP, entitled “Out of Network Access”.

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14.	Section 3.13	At time of Proposal Due Date, Offeror represents and warrants that it: <input type="checkbox"/> acknowledges and agrees <input type="checkbox"/> does not acknowledge and agree that the Offeror will comply with all specific duties and responsibilities set forth in Section 3.13 of this RFP, entitled “Ancillary Networks”.
15.	Section 3.14	At time of Proposal Due Date, Offeror represents and warrants that it: <input type="checkbox"/> acknowledges and agrees <input type="checkbox"/> does not acknowledge and agree that the Offeror will comply with all specific duties and responsibilities set forth in Section 3.14 of this RFP, entitled “Medical Case Management (MCM)”.
16.	Section 3.15	At time of Proposal Due Date, Offeror represents and warrants that it: <input type="checkbox"/> acknowledges and agrees <input type="checkbox"/> does not acknowledge and agree that the Offeror will comply with all specific duties and responsibilities set forth in Section 3.15 of this RFP, entitled “Voluntary Specialist Consultation Evaluation Program”.
17.	Section 3.16	At time of Proposal Due Date, Offeror represents and warrants that it: <input type="checkbox"/> acknowledges and agrees <input type="checkbox"/> does not acknowledge and agree that the Offeror will comply with all specific duties and responsibilities set forth in Section 3.16 of this RFP, entitled “Predetermination and Pre-certification of Benefits”.
18.	Section 3.17	At time of Proposal Due Date, Offeror represents and warrants: <input type="checkbox"/> acknowledges and agrees <input type="checkbox"/> does not acknowledge and agree that that the Offeror will comply with all specific duties and responsibilities set forth in Section 3.17 of this RFP, entitled “Disease Management”.
19.	Section 3.18	At time of Proposal Due Date, Offeror represents and warrants that it: <input type="checkbox"/> acknowledges and agrees <input type="checkbox"/> does not acknowledge and agree that the Offeror will comply with all specific duties and responsibilities set forth in Section 3.18 of this RFP, entitled “Benefits Management Program”.
20.	Section 3.19	At time of Proposal Due Date, Offeror represents and warrants that it: <input type="checkbox"/> acknowledges and agrees <input type="checkbox"/> does not acknowledge and agree that the Offeror will comply with all specific duties and responsibilities set forth in Section 3.19 of this RFP, entitled “Concurrent Review for Mental Health and Substance Abuse Services”.

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Civil Service**

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21.	Section 3.20	At time of Proposal Due Date, Offeror represents and warrants that it: <input type="checkbox"/> acknowledges and agrees <input type="checkbox"/> does not acknowledge and agree that the Offeror will comply with all specific duties and responsibilities set forth in Section 3.20 of this RFP, entitled “Disabled Dependent Determinations”.
22.	Section 3.21	At time of Proposal Due Date, Offeror represents and warrants that it: <input type="checkbox"/> acknowledges and agrees <input type="checkbox"/> does not acknowledge and agree that the Offeror will comply with all specific duties and responsibilities set forth in Section 3.21 of this RFP, entitled “Waiver of Premium”.
23.	Section 3.22	At time of Proposal Due Date, Offeror represents and warrants that it: <input type="checkbox"/> acknowledges and agrees <input type="checkbox"/> does not acknowledge and agree: that the Offeror will comply with all specific duties and responsibilities set forth in Section 3.22 of this RFP, entitled “Transition and Termination of Contract”.
24.	5.11	Offeror represents and warrants that it: <input type="checkbox"/> acknowledges and agrees <input type="checkbox"/> does not acknowledge and agree that as of the Full ASO Service Date the Offeror will have completed its credentialing process for all providers and facilities as detailed in Section 5.11, included in its <i>Proposed Provider Network File Layout</i> (Attachment 38). The Offeror agrees to provide documentation, including contracts, as required to demonstrate satisfaction of this requirement.
25.	Section 8(1)	At time of Proposal Due Date, Offeror represents and warrants that it: <input type="checkbox"/> attests <input type="checkbox"/> does not attest that all work performed by Contractor personnel under this Contract must be performed within the Continental United States of America.

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CERTIFICATION:

The Offeror: (1) recognizes that the following representations are submitted for the express purpose of assisting the State of New York in making a determination to award a contract; (2) acknowledges and agrees by submitting the Attestation, that the State may at its discretion, verify the truth and accuracy of all statements made herein; and (3) certifies that the information submitted in this certification and any attached documentation is true, accurate and complete

Signature: _____ Title: _____

PRINT SIGNATORY'S NAME: _____ Date: _____

INDIVIDUAL, CORPORATION, PARTNERSHIP, OR LLC ACKNOWLEDGMENT STATE OF }

COUNTY OF }

Sworn Statement:

On the _____ day of _____ in the year 20____, before me personally appeared _____, known to me to be the person who executed the foregoing instrument, who, being duly sworn by me did depose and say that _he maintains an office at Town of _____

County of _____, State of _____; and further that:

____ (If an individual): _he executed the foregoing instrument in his/her name and on his/her own behalf.

____ (If a corporation): _he is the _____ of _____, the corporation described in said instrument; that, by authority of the Board of Directors of said corporation, _he is authorized to execute the foregoing instrument on behalf of the corporation for purposes set forth therein; and that, pursuant to that authority, _he executed the foregoing instrument in the name of and on behalf of said corporation as the act and deed of said corporation.

____ (If a partnership): _he is the _____ of _____, the partnership described in said instrument; that, by the terms of said partnership, _he is authorized to execute the foregoing instrument on behalf of the partnership for purposes set forth therein; and that, pursuant to that authority, _he executed the foregoing instrument in the name of and on behalf of said partnership as the act and deed of said partnership.

____ (If a limited liability company): _he is a duly authorized member of _____, LLC, the limited liability company described in said instrument; that, _he is authorized to

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execute the foregoing instrument on behalf of the limited liability company for purposes set forth therein; and that, pursuant to that authority, _he executed the foregoing instrument in the name of and on behalf of said limited liability company as the act and deed of said limited liability company.

Notary Public _____ Date: _____