

## PROMOTION EXAMINATION

**Examination No. & Title** 

Salary Grade Beginning Salary

Non-Refundable Processing Fee (Waivers Available)

31-332020 Principal Attorney

M-5

\$141,581

\$0

Multiple-Choice Test To Be Held: FEBRUARY 28, 2026

Applications MUST Be Submitted Online or Postmarked By: JANUARY 14, 2026

You may be assigned to either Saturday or Sunday to take your test. You cannot request or change your scheduled day.

ONLINE APPLICATION PROCESS AVAILABLE at https://www.cs.ny.gov/jobseeker

Due to current testing space limitations, candidates may need to travel to a test site to take this examination. Candidates will be notified of their test site location on their admission notice.

Interdepartmental promotion examination open to all qualified employees of New York State.

For Promotion in State Departments, Facilities, and Agencies

1. Within Promotion Units

2. Within Entire Departments

3. To Other Departments

**Minimum Qualifications:** On or before February 28, 2026, you must be a qualified employee of New York State and have had three months of permanent competitive or 55-b/55-c service as a Supervising Attorney, Supervising Attorney (Various Parenthetics), Associate Attorney, or Associate Attorney (Various Parenthetics).

Only the title or titles listed are qualifying.

If you were permanently appointed to a qualifying title on or before November 28, 2025, and have served continuously in this title since that date, you are eligible to file for this examination.

\*If you were permanently appointed via the New York Hiring for Emergency Limited Placement Statewide (NY HELPS) program to a qualifying title in the non-competitive class on or before November 28, 2025, and have served continuously in this title since that date, you may apply for this examination.

\*\*If you were permanently appointed to a qualifying title and had provisional service in the same title immediately preceding the permanent appointment, such provisional service may be counted towards meeting the time in title required to apply for this examination. Your provisional appointment must have been on or before November 28, 2025, to qualify for this examination.

The eligible list established as a result of this examination will be used to fill Principal Attorney and the following agency specific titles:

- Principal Attorney (Financial Services)
- Principal Attorney (Realty)
- Principal Attorney (Securities & Public Financing)

**Qualifying Experience For Appointment From The Eligible List:** After one year of service as a Supervising Attorney or Supervising Attorney (Various Parenthetics) or two years of service as an Associate Attorney or Associate Attorney (Various Parenthetics), successful candidates will be qualified for appointment from the eligible list.

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Employees appointed to a non-competitive position via the NY HELPS program to a qualifying title in the non-competitive class will have their names restricted from appointment from the eligible list until their position is covered-in to the competitive class by action of the New York State Civil Service Commission or otherwise obtain permanent competitive status in the position.

If you have received a permanent appointment to a qualifying title listed and served provisionally in that title immediately preceding your permanent appointment, such provisional service may be counted towards meeting the time in title required for appointment from the eligible list.

**IMPORTANT:** Admission to the New York State Bar and current registration to practice in New York State is necessary for continued employment. Disbarment or loss of registration shall result in termination or suspension of your employment.

**The Positions:** These positions exist in various State agencies and are located statewide.

**Duties:** As a **Principal Attorney**, you would be in charge of a major legal division within an agency's legal office or serve as second-in-command of an agency's legal office and provide expert legal advice and assistance to executive management and support to agency program administrators at the policymaking level to ensure that the Department's interests are properly represented in all litigation and administrative proceedings, and for all novel legal issues. Duties may include, but not be limited to: evaluating litigation, discussing matters with bureau chiefs, preparing written opinions, reviewing and drafting regulations and legislation, reviewing circular letters, drafting pleadings, affidavits, responses to questions by opposing parties, questions for cross-examination, preparing documents in response to subpoenas, compilations of privilege logs, and attending and monitoring depositions; overseeing administrative actions and the implementation of recently enacted legislation, the development of new policy, and participating in meetings and conferences; supervising a group of lower-level attorneys engaged in the preparation of legal cases for which there is little precedent and/or which have a major impact on an agency's mission and program; analyzing legal opinions, briefs and other material; and preparing memoranda of law, conferring with administrators or outside parties to legal action in order to mediate, negotiate, or settle legal issues related to the agency's mission and programs. Incumbents may be engaged in a specialized area of law in connection with a single agency program or in a more general atmosphere as evidenced by the concern with laws governing a number of agency programs.

Principal Attorneys with parenthetic titles serve as the highest level legal specialist in an area of law recognized for its difficulty, complexity, or potential impact, in addition to supervising legal staff.

**Subject of Examination:** To be considered for appointment to this title, you must pass the **multiple-choice test**. The **multiple-choice test** evaluates your knowledge, skills, and/or abilities in the following areas:

- Preparing reports and official documents These questions test for the ability to prepare reports and other official
  documents for use within and among governmental agencies, in legal or regulatory settings, or for dissemination to the
  public. Some questions test for a knowledge of grammar, usage, punctuation, and sentence structure. Others test for
  the ability to present information clearly and accurately, to use the proper tone, and to organize paragraphs logically
  and comprehensibly.
- 2. Working and interacting with others These questions test for knowledge of how to effectively approach work and maintain professional relationships with others in the workplace. Each question presents a situation and a number of possible approaches for handling it. Question topics may include working with supervisors and coworkers, interacting with members of the public, handling conflict, and managing workplace demands and priorities. The questions are not specific to any job title or place of work.
- 3. Administrative supervision These questions test for knowledge of the principles and practices involved in directing the activities of a large subordinate staff, including subordinate supervisors. Questions relate to the personal interactions between an upper level supervisor and their subordinate supervisors in the accomplishment of objectives. These questions cover such areas as assigning work to and coordinating the activities of several units, establishing and guiding staff development programs, evaluating the performance of subordinate supervisors, and maintaining relationships with other organizational sections.
- 4. Analyzing and evaluating information These questions test for the ability to analyze, interpret, and draw reasonable conclusions from information presented in text, data, images or symbols. This may involve identifying a significant problem or issue; focusing on relevant data and text; identifying trends, relationships, and significant features; assessing relevant alternatives; suggesting or evaluating possible conclusions; and applying logical principles to information provided. You should bring with you a hand-held battery- or solar-powered calculator for use on this test. You will not be permitted to use the calculator function of your cell phone.

## Subject of Examination (Continued):

- 5. **Understanding and interpreting written material** These questions test how well you comprehend written material. You will be provided with brief reading selections and will be asked questions about the selections. All the information required to answer the questions will be presented in the selections; you will not be required to have any special knowledge relating to the subject areas of the selections.
- 6. **Understanding and applying administrative principles** These questions test for knowledge of how to effectively manage and direct an organization or an organizational segment. These questions cover such areas as developing objectives, formulating policies, making decisions, forecasting and planning, developing personnel, organizing and coordinating work, communicating information, providing leadership, and delegating authority and responsibility.

**Test Guide & Sample Test Material:** A General Guide to Multiple-Choice Tests is available at <a href="http://www.cs.ny.gov/testing/testguides.cfm">http://www.cs.ny.gov/testing/testguides.cfm</a>. Sample Test Material for the subject areas described above is available at <a href="http://www.cs.ny.gov/testing/sampletestmat.cfm">http://www.cs.ny.gov/testing/sampletestmat.cfm</a>.

If you pass, your seniority credit(s), if any, will be included in the computation of your final score. Your final score on the eligible list will be determined after adding any wartime Veterans' credits.

**Credit For Seniority:** Seniority is credited at the rate of one credit for each five-year period (or fraction thereof), excluding the first year of service.

**Admission To The Test:** If approved for a multiple-choice test, you will receive an admission notice which will indicate your assigned test date and the way your test will be administered. Your test may be administered with paper and pencil or online at a state test site. **You cannot request to change your scheduled day or request a specific type of test administration.** 

Applicants are required to have a Personal NY.gov account and keep their email address up to date. The Department of Civil Service and other state agencies will communicate with you through email correspondence. This may include notification of your application status, your test arrangements, examination results, and canvassing you for interest in appointment. It will be important for you to keep your email address, phone number, and mailing address current by logging into https://www.cs.ny.gov/home/myaccount.

**How To Apply:** You will need a **personal** NY.gov ID to apply for these examinations. If you do not have a **personal** NY.gov account, please <u>create an account</u>.

- Online our Internet address is http://www.cs.ny.gov/jobseeker; or
- Download an examination application NYS-APP form; or
- Email cs.sm.examinfo@cs.ny.gov to request NYS-APP forms; or
- Obtain an NYS-APP form from a state agency or facility personnel/business office; or
- Request NYS-APP form by calling the Department of Civil Service in the Albany area at 518-457-2487 or toll free at 1-877-697-5627.

The NYS Department of Civil Service reserves the right to reject or accept applications. All statements you make on your application are subject to investigation.

## ADDITIONAL INFORMATION

**NEW YORK STATE IS AN EQUAL OPPORTUNITY EMPLOYER:** It is the policy of the State of New York to provide for and promote equal opportunity employment, compensation, and other terms and conditions of employment without unlawful discrimination on the basis of age, race, color, religion, disability, national origin, gender, sexual orientation, veteran or military service member status, marital status, domestic violence victim status, genetic predisposition or carrier status, arrest and/or criminal conviction record, or any other category protected by law, unless based upon a bona fide occupational qualification or other exception.

**RELIGIOUS ACCOMMODATION:** Most multiple-choice tests are held on Saturdays. If you cannot take the test on the announced test date, due to a conflict with a religious observance or practice, check the box under "Religious Accommodation." We will make arrangements for you to take the test on a different date (usually the following day).

**REASONABLE ACCOMMODATIONS IN TESTING:** It is the policy of the Department of Civil Service, in accordance with the New York State Human Rights Law and the Americans with Disabilities Act, to provide qualified persons with disabilities equal employment opportunity and equal opportunity to participate in and receive the benefits, services, programs, and activities of the Department. It is the policy of the Department to provide such persons reasonable accommodations and reasonable modifications as are necessary to provide equal opportunity. Persons with disabilities who require an accommodation to participate in an examination must note this on their application. Further information is available from the Test Accommodation Unit of the Department of Civil Service at <a href="Testaccommodation@cs.ny.gov">Testaccommodation@cs.ny.gov</a>.

**ADMISSION TO EXAMINATION**: Notice to appear for the test may be conditional as review of applications may not be made until after the test. If you have not received your notice to appear for the multiple-choice test three days before the date of the test, email us at <a href="mailto:AdmissionNotices@cs.ny.gov">AdmissionNotices@cs.ny.gov</a>. Please provide your first and last name, address, and the last four digits of your social security number, and we will provide you with the information you need to attend your multiple-choice test. If you have an issue other than not receiving your admission notice, please contact our office at (518) 474-6470 in the Albany area or toll free at 1-877-697-5627. Please leave a clear concise message and provide your first and last name, last four digits of your social security number and a daytime phone number.

**TAKING STATE AND LOCAL EXAMINATIONS SCHEDULED FOR THE SAME DAY:** If you have applied to take a multiple-choice test announced by either one or several local jurisdictions (county, town, city) scheduled to be held on the same test date as this multiple-choice test, you must notify each of the local jurisdictions no later than two weeks before the test date to make arrangements for taking all tests at one test site. All tests will be held at the state examination center. For your convenience, contact information for all local civil service agencies is available on our website at: <a href="http://www.cs.ny.gov/jobseeker/local.cfm">http://www.cs.ny.gov/jobseeker/local.cfm</a>.

**ELIGIBILITY FOR EXAMINATION:** To be considered a qualified employee eligible to compete in this examination, you must be employed in, or on leave from, the specified department or agency on a permanent or contingent permanent basis in the competitive class, or in the non-competitive class or labor class if specifically noted on this announcement (or be on an appropriate preferred list), and have the specified time in the specified title or salary grade. You may not compete in a test for a title if you are permanently employed in that title (unless you are still on probation) or in a higher direct line of promotion.

**CELL PHONES OR ELECTRONIC/COMMUNICATION DEVICES AT THE TEST SITE:** The use of cell phones, beepers, headphones, or any electronic or other communication devices at your personal testing site or in the test room, hallways, restrooms, building, grounds, or other areas, except as expressly authorized by the Department of Civil Service, could result in your disqualification.

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